### Marin Pediatric Associates, Inc.

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### **Notice of Privacy Practices**<sub>-1/1/09</sub>

This notice describes how your medical information may be used and disclosed and how you can get access to this information. Please review it carefully.

This Notice of Privacy Practices describes how Marin Pediatric Associates, Inc., its medical staff members and employees may use and disclose your protected health information (PHI) for purposes of treatment, payment and health care operations, and for other purposes that are permitted or required by law.

#### I. OUR RESPONSIBILITIES:

Marin Pediatric Associates, Inc. takes the privacy of your / your child's health information seriously. We are required by law to maintain the privacy of your health information and provide you with this Notice of Privacy Practices. We will abide by the terms of this Notice of Privacy Practices.

We reserve the right to change this Notice of Privacy Practices and to make any new Notice of Privacy Practices effective for all protected health information that we maintain. Any new Notice of Privacy Practices adopted will be posted in the Patient Registration area, posted on our website (<a href="www.marinpediatricassociates.com">www.marinpediatricassociates.com</a>).

#### II. WHAT IS "PROTECTED HEALTH INFORMATION" (PHI)?

Protected health information ("PHI") is demographic and individually identifiable health information that will or may identify the patient and relates to the patient's past, present or future physical or mental health or condition and related health care services.

#### III. WHAT DOES "HEALTH CARE OPERATIONS" INCLUDE?

Health care operations include activities such as communications among heath care providers, conducting quality assessment and improvement activities; evaluating the qualifications, competence, and performance of health care professionals; contacting insurance companies; conducting medical review and auditing services; compiling and analyzing information in anticipation of or for use in legal proceedings; and general administrative and business functions.

#### IV. HOW IS MEDICAL INFORMATION USED?

Marin Pediatric Associates, Inc. uses medical records as a way of recording health information, planning care and treatment and as a tool for routine health care operations. Your insurance company may request information such as procedure and diagnosis information that we are required to submit in order to bill for treatment we provide to the patient. Other health care providers or health plans reviewing your records must follow the same confidentiality laws and rules required of Marin Pediatric Associates, Inc.

## V. EXAMPLES OF HOW MEDICAL INFORMATION MAY BE USED FOR TREATMENT. PAYMENT OR HEALTHCARE OPERATIONS

• Medical information may be used to justify needed patient care services, (i.e., lab tests, prescriptions, immunizations and treatment protocols).

- We will use medical information to establish a treatment plan.
- We may disclose protected health information to another provider for treatment (i.e. referring physicians, specialists and other providers.)
- We may submit claims to you that contain medical information which you submit to your insurance carrier for reimbursement. We may contact your insurance utilization review department to receive prior approval for certain treatments that may not be covered under your health plan.
- We may use the emergency contact information you provided to contact you if the address of record is no longer accurate.
- We may contact you to remind you of the patient's appointment by calling you or mailing a postcard.
- We may contact you to discuss treatment alternatives or other health related benefits that may be of interest.
- We may use information for making travel arrangements from Marin Pediatric Associates, Inc. to your home.

#### VI. WHY DO I HAVE TO SIGN A CONSENT FORM?

When you, as the patient or the parent or guardian of a patient, sign a consent form, you are giving Marin Pediatric Associates, Inc. permission to use and disclose protected health information for the purposes of treatment, payment and health care operations. This permission does not include psychotherapy notes, psychosocial information, alcoholism and drug abuse treatment records and other privileged categories of information which require a separate authorization. You will need to sign a separate authorization to have protected health information released for any reason other than treatment, payment or healthcare operations.

#### VII. WHAT ARE PSYCHOTHERAPY NOTES?

Psychotherapy notes are notes recorded (in any medium) by a mental health professional documenting or analyzing the contents of conversation during a private counseling session or a group, joint, or family counseling session that are separated from the rest of the patient's medical record. Psychotherapy notes exclude medication prescription and monitoring, counseling session start and stop times, modalities and frequencies of treatment furnished, results of clinical tests, and any summary of the following items: diagnosis, functional status, the treatment plan, symptoms, prognosis, and progress to date.

#### VIII. WHAT IS PSYCHOSOCIAL INFORMATION?

Psychosocial information is information provided by you regarding your family's social history and counseling services you have received.

#### IX. WHY DO I HAVE TO SIGN A SEPARATE AUTHORIZATION FORM?

In order to release patient protected health information for any reason other than treatment, payment and health care operations, we must have an authorization signed by the patient or the parent or guardian of the patient that clearly explains how they wish the information to be used and disclosed. The following are some examples of releases of information that require a separate authorization:

- Psychotherapy Notes
- Psychosocial information
- Certain test results

#### X. CAN I CHANGE MY MIND AND REVOKE AN AUTHORIZATION?

You may change your mind and revoke an authorization, except to the extent that we have

relied on the authorization up to that point. All requests to revoke an authorization should be in writing to Marin Pediatric Associates, Inc.

### XI. SHARING INFORMATION WITH MARIN PEDIATRIC ASSOCIATES, INC.'S BUSINESS ASSOCIATES

There are some services provided at Marin Pediatric Associates, Inc. through contracts with business associates. Examples may include, but are not limited to, billing, bookkeeping or transcription services. When these services are contracted, we may disclose your health information to the business associate so that they can perform the job we have contracted them to do. To protect your health information, we contractually require our business associates to follow the same confidentiality laws required of Marin Pediatric Associates, Inc.

#### XII. WHEN IS MY AUTHORIZATION / CONSENT NOT REQUIRED?

The law requires that some information may be disclosed without your authorization in the following circumstances:

- In case of an emergency
- When there are communication or language barriers
- · When required by law
- When there are risks to public health
- To conduct health oversight activities
- To report suspected child abuse or neglect
- To specified government regulatory agencies
- In connection with judicial or administrative proceedings
- For law enforcement purposes
- To coroners, funeral directors, and for organ donation
- In the event of a serious threat to health or safety

#### XIII. YOUR PRIVACY RIGHTS

The following is a statement of your rights with respect to your protected health information and a brief description of how you may exercise these rights.

#### 1. You have the right to inspect and copy your health information.

This means you may inspect and obtain a copy of your PHI that is contained in a "designated record set" for so long as we maintain the PHI. A designated record set contains medical and billing records and any other records that Marin Pediatric Associates, Inc. uses in making decisions about your healthcare. You may not; however, inspect or copy the following records: psychotherapy and psychosocial notes; information compiled in reasonable anticipation of, or use in, a civil, criminal or administrative action or proceeding, and certain PHI that is subject to laws that prohibit access to that PHI. Depending on the circumstances, a decision to deny access may be reviewable. In some circumstances, you may have the right to have this decision reviewed. Please contact Marin Pediatric Associates, Inc. if you have questions about access to your medical record.

2. You have the right to request a restriction of your health information. This means you may ask us to restrict or limit the medical information we use or disclose for the purposes of treatment, payment or healthcare operations. Marin Pediatric Associates, Inc. is not required to agree to a restriction that you may request. We will notify you if we deny your request. If we do agree to the requested restriction, we may not use or disclose your PHI in violation of that restriction unless it is needed to provide emergency treatment. You may request a restriction by contacting our Privacy Officer.

# 3. Your have the right to request to receive confidential communications by alternative means or at alternative locations.

We will accommodate reasonable requests. We may also condition this accommodation by asking you for an alternative address or other method of contact. We will not request an explanation from you as the basis for the request. Requests must be made in writing to our Privacy Officer.

#### 4. You have the right to request amendments to your health information.

This means you may request an amendment of PHI about you in a designated record set for as long as we maintain this information. In certain cases, we may deny your request for an amendment. If we deny your request, you have the right to file a statement of disagreement with our Privacy Officer and we may prepare a rebuttal to your statement and will provide you with a copy of this rebuttal. If you wish to amend your PHI, please contact our Privacy Officer. Requests for amendment must be in writing.

# 5. You have the right to receive an accounting of disclosures of your health information.

You have the right to request an accounting of certain disclosures of your PHI made by Marin Pediatric Associates, Inc. This right applies to disclosures for purposes other than treatment, payment or healthcare operations as described in this Privacy Notice. We are also not required to account for disclosures that you requested, disclosures that you agreed to by signing an authorization form, to family or friends involved in your care, or certain other disclosures we are permitted to make without your authorization. The request for an accounting must be made in writing to our Privacy Officer. The request should specify the time period sought for the accounting. Accounting requests may not be made for periods of time in excess of six years.

## 6. You have the right to receive a paper copy of this Notice of Privacy Practices.

#### XIV. WHAT IF I HAVE A QUESTION / COMPLAINT?

If you have questions regarding your privacy rights, please contact the Marin Pediatric Associates, Inc. Privacy Officer at (415) 461-8828. If you believe your privacy rights have been violated, you may file a complaint by contacting the Marin Pediatric Associates, Inc. Privacy Officer (415) 461-8828 or via email at marinpeds@aol.com or with the Secretary of the Department of Health and Human Services. You will not be penalized for filing a complaint.

The address for the Secretary of the Department of Health and Human Services is: Office of Civil Rights

U.S. Department of Health and Human Services
Atlanta Federal Center
Suite 3B70
61 Forsyth St., S.W.
Atlanta, GA 30303-8909
(404) 562-7886 (phone)
(404) 562-7881 (fax)
(404) 331-2867 (TDD)
www.hhs.gov/ocr/hipaa